

04/27/01

3439 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional  
applications under 37 CFR 1.53(b))

Title of Invention

System and Method for Managing Security Events on a Network

Named Inventor(s)

Gregory Neil Houston, Christian D. Kobsa, Sridhar  
Embar, Matthew Thaddeus Di Iorio, Bryan Douglas  
Williams, and Michael George Nikitaides

Attorney Docket

05456.105005

Express Mail Label No.

EK906952653US

## APPLICATION ELEMENTS

1. ☐ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status. See 37 CFR 1.27.
3. ☒ Specification, Claims,  
and Abstract Total Pages **21**
4. ☒ Drawings Total Sheets **21**
5. Oath or Declaration Total Pages **6**
  - a. ☒ Unexecuted Copy
  - b. ☐ Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17  
completed)
    - (i) ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior  
application, see 37 CFR 1.63(d)(2)  
and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76.
7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence  
Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above  
copies

ADDRESS TO: Assistant Commissioner of Patents  
Box Patent Application  
Washington, D.C. 20231

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement  
(when there is an assignee)
  - ☐ Power of Attorney by assignee
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)  
PTO-1449
  - ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
16. ☒ Assignee:  
**Internet Security Systems, Inc.**  
**6303 Barfield Road**  
**Atlanta, Georgia 30328**

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:

Prior application information: Examiner:

Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS:

W. Scott Petty, Esq.  
KING & SPALDING

45th Floor  
191 Peachtree Street, N.E.  
Atlanta, Georgia 30303

By:

Date: April 27, 2001

Reg. No. 35,645

Telephone: 404.572.4600  
Facsimile: 404.572.5145  
Customer No. 20786